

FORM PTO-1449		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY. DOCKET NO. <b>1963-4728</b>		SERIAL NO. <b>08/933,488</b>	
<b>INFORMATION DISCLOSURE CITATION</b> (Use several sheets if necessary)				APPLICANT(S) <b>Filepp et al.</b>			
DEC 16 1997				FILING DATE <b>09/18/97</b>		GROUP ART <b>TBA</b>	
<b>U.S. PATENT DOCUMENTS</b>							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Q</i>		4,186,438	01/29/80	Benson et al.	364	200	
<i>Q</i>		4,289,930	09/15/81	Connolly et al.	179	2 TV.	
<i>Q</i>		4,359,631	11/16/82	Lockwood et al.	235	381	
<i>Q</i>		4,575,679	03/11/86	Simon et al.	178	4	
<i>Q</i>		4,691,340	09/01/87	Maeda et al.	379	96	
<i>Q</i>		4,751,669	06/14/88	Sturgis et al.	364	900	
<i>Q</i>		4,805,119	02/14/89	Maeda et al.	364	518	
<i>Q</i>		4,805,134	02/14/89	Calo et al.	364	900	
<i>Q</i>		4,891,503	01/02/90	Jewell	235	380	
<i>Q</i>		4,897,782	01/30/90	Bennett et al.	364	200	
<i>Q</i>		4,916,441	04/10/90	Gombrich	340	712	
<i>Q</i>		4,949,300	08/14/90	Christenson et al.	364	900	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY/AUTHORITY	CLASS	SUBCLASS	TRANSLATION YES NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)</b>							
<i>Q</i>		BLASGEN, M.W. et al., <i>System R: An Architectural Update</i> , IBM Research Report RJ2581, San Jose, Calif., July 1979.					
<i>Q</i>		LINDSAY, B.G., <i>Single and Multi-Site Recovery Facilities</i> . In <i>Distributed Data Bases</i> (Draffan and Poole, Eds.), Cambridge Univ. Press, Cambridge, U.K. (1980), chapter 10, pp. 247-284. Also available as IBM Research Report RJ2517, San Jose, Calif., July 1979.					
<i>Q</i>		LINDSAY, B.G. <i>Object Naming and Catalog Management for a Distributed Database Manager</i> . In <i>Proc. Second International Conference on Distributed Computing Systems</i> (Paris, April 8-10, 1981), pp. 31-40. Also available as IBM Research Report RJ2914, San Jose, Calif., August 1980.					
<i>Q</i>		GRAY, J., McJONES, P., BLASGEN, M., LINDSAY, B., LORIE, R., PRICE, T. PUTZOLU, F., and TRAICER, I. <i>The Recovery Manager of the System R Database Manager</i> . ACM Comput. Surv. 13.2 (June 1981), 223-242.					
EXAMINER <i>Q</i>				DATE CONSIDERED <i>7/26/98</i>			
EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>[initials]</i>		5,043,919	08/27/91	Callaway et al.	364	521	
<i>[initials]</i>		5,151,989	09/29/92	Johnson et al.	395	600	
<i>[initials]</i>		5,179,660	01/12/93	Devany et al.	395	200	
<i>[initials]</i>		5,283,861	02/01/94	Dangler et al.	395	149	
<i>[initials]</i>		5,319,542	06/07/94	King, Jr. et al.	364	401	
<b>FOREIGN PATENT DOCUMENTS</b>							
		DOCUMENT NUMBER	DATE	COUNTRY/AUTHORITY	CLASS	SUBCLASS	TRANSLATION YES NO
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Papers, Etc.)</b>							
<i>[initials]</i>		WILLIAMS, R., DANIELS, D., HAAS, L., LOPIS, G., LINDSAY, B., NG, P., OBERMARCK, R., SELINGER, P., WALKER, A., WILMS, P., and YOST, R. <i>R*: An Overview of the Architecture</i> . In Improving Usability and Responsiveness (P. Scheurman, Ed.), Academic Press, New York, pp. 1-22. Also available as IBM Research Report RJ3325, San Jose, Calif., Dec. 1981.					
<i>[initials]</i>		DANIELS, D., SELINGER, P., HAAS, L., LINDSAY, B., MOHAN, C., WALKER, A. and WILMS, P. <i>An Introduction to Distributed Query Compilation in R*</i> . In Proc. Second International Symposium on Distributed Databases (Berlin, September 1-3, 1982), pp. 291-309. Also available as IBM Research Report RJ3497, San Jose, Calif., June 1982.					
<i>[initials]</i>		MOHAN, C. and LINDSAY, B. <i>Efficient Commit Protocols for the Tree of Processes Model of Distributed Transactions</i> . In Proc. Second SIGACT-SIGOPS Symposium on Principles of Distributed Computing (Montreal, Canada, August 17-19, 1983), ACM, New York, pp. 76-88.					
<i>[initials]</i>		SELINGER, P., DANIELS, D., HAAS, L. et al. <i>Site Autonomy Issues in R*: A Distributed Database Management System</i> . Information Sciences, Vol. 29, pp. 249-257 (1983).					
<i>[initials]</i>		GECSEI, J., <i>The Architecture of Videotex Systems</i> , Chs. 1-4, 6, 9-12, 14, Prentice-Hall, Inc., March 25, 1983.					
<i>[initials]</i>		LINDSAY, B., HAAS, L., MOHAN, C., WILMS, P. and YOST, R. <i>Computation and Communication in R*: A Distributed Database Manager</i> . In ACM Transactions on Computer Systems, Vol. 2, No. 1, February 1984, pp. 24-38.					
<i>[initials]</i>		LINDSAY, B. <i>A Retrospective of R*: A Distributed Database Management System</i> . In Proceedings of the IEEE, Vol. 75, No. 5, May 1987, pp. 668-673.					
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